

Policy Statement Number: 32

Subject: Regulation of Annex 4 Aeronautical Charts

Effective date of Implementation: 17 November 2008

ASSI File Reference: UK/X/J/86/02 – Policy Statements

Rationale:

The Civil Aviation Act commits the UK, and hence the UK Overseas Territories (OT), to implementing the Chicago Convention and its Annexes. It is policy of the OTs to comply with Standards and safety-related Recommended Practices (see Policy Statement 2). ICAO Annex 4 to the Convention places a responsibility on the State to ensure that arrangements are in place to meet the Standards and Recommended Practices (SARPs) of the Annex ie to ensure aeronautical charts are provided.

Since the provision of aeronautical charts is often closely bound up with the provision of Air Traffic Services (ATS), the oversight of aeronautical charts shall normally be undertaken as part of the oversight of an ATS unit. However the aerodrome authority also has responsibilities for ensuring certain charts are produced and this needs to be taken into account by the aerodrome regulator.

The AN(OT)O places responsibility on the Governor for ensuring that aeronautical charts are provided within the Territory. The Governor or body charged by the Governor with responsibility for ensuring provision of aeronautical charts shall set out the charts to be provided within the Territory and arrange for provision of such charts.

There are no OTARs for Annex 4 so regulatory audits of the service provider will ascertain conformance with the provisions of Annex 4 itself in relation to the services set out by the Governor. In the event that a non-conformance is discovered normal regulatory action may not be possible as the AN(OT)O does not provide the regulator powers in the same way that it does with other functions. Non-conformances shall be brought to the attention of the provider of aeronautical charts for resolution.

Guidance on the provision and regulation of aeronautical charts is given in OTAC MAP-1.

Since the provision of aeronautical charts is a State responsibility the relationship between the Regulator and Service Provider may not follow the definitions in Policy Statement 4 Regulation/Service Provision, therefore, each case should be judged on its merits.

Policy Statements:

- (a) The Governor is responsible for ensuring that the provision of aeronautical charts is as specified by ICAO Annex 4.
- (b) The Governor or body charged by the Governor with responsibility for ensuring provision of aeronautical charts shall set out the charts to be provided within the Territory and arrange for provision of such charts.
- (c) Aeronautical charts may be provided by agreement with a dedicated service provider who shall comply with ICAO Annex 4 and the requirements of the Governor.
- (d) The Governor's designated regulator shall periodically audit the provider of aeronautical charts to ascertain whether the service accords with ICAO Annex 4 and the requirements of the Governor.

- (e) Oversight of aeronautical charts shall normally be undertaken as part of the regulation of an ATS unit but, taking account of the aerodrome operator's responsibilities, the aerodrome regulator shall also be involved.
- (f) Non-conformances shall be brought to the attention of the provider of aeronautical charts for resolution.