

Regulatory Competencies

Process Competency: Enforcement

Description: The ability to assess an event which may be in contravention of legislation or requirements and determine the correct course of action.

*Note: Elements are shown in italics.
Knowledge (K), Skills (S), Behaviour (B)*

Operational	Supervisory
<p><i>Regulatory Process/Knowledge</i></p> <p>Can demonstrate the ability to identify the appropriate means of enforcement, proportionate to the scale of the event, and takes appropriate action to maintain compliance.(S)</p> <p>Follows the ASSI Enforcement Procedure (B)</p> <p>Understands the importance of good record keeping and records all evidence accurately and in the appropriate place. (K)</p> <p>Is able to correctly identify the points in the enforcement process where the involvement of a Governor should be arms length and where briefs are required. (K) (S)</p> <p><i>Report and Recommendations</i></p> <p>Can present cogent arguments to operators and others concerned to explain the reasoning and process of enforcement actions undertaken. (S)</p>	<p><i>Understanding</i></p> <p>Can demonstrate understanding of legislation relating to the enforcement case.(K)</p> <p><i>Guidance</i></p> <p>Evaluates all of the evidence provided on the case and provides suitable guidance to staff considering the options for, and implications of, particular enforcement activities. (S)</p> <p>Is able to correspond with the Accountable Manager to ensure that the enforcement process is followed and clearly states all actions required from the service provider to address the issue(s) identified. (S)</p> <p><i>Approval</i></p> <p>Can make a judgement on the appropriate enforcement action to be followed in both simple and complex cases. (S)</p>
<p><i>Persons to whom this is likely to apply:</i> Operational staff members.</p>	<p><i>Persons to whom this is likely to apply:</i> Those supervising staff.</p>